

# Synergetic Tandem Enantiomeric Enrichment in Catalytic Asymmetric Multi-Component Reactions (AMCRs): Highly Enantioselective Construction of Tetracyclic Indolines with Four Continuous Stereocenters

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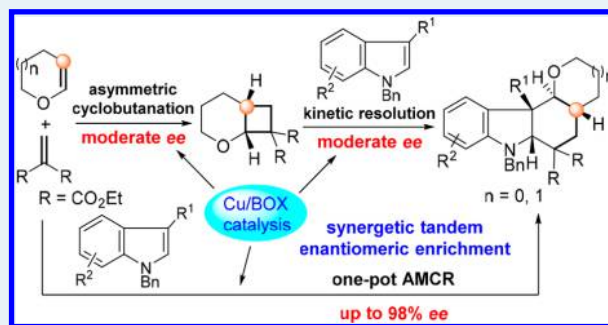
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## Supporting Information

**ABSTRACT:** Tetracyclic indolines are ubiquitous skeletons in bioactive natural products and pharmaceuticals, and efficient methods for their enantioselective synthesis are highly desired. Here, we report an efficient three-component formal  $[2 + 2 + 2]$  cycloaddition reaction between indoles, 2,3-dihydropyran, and methylene malonates for rapid construction of optically active tetracyclic indolines bearing four continuous stereocenters. Although the optimal catalyst Cu(II)/BOX displays only moderate enantioselectivities in either formal cyclobutanation or  $[4 + 2]$  cycloaddition reaction with donor–acceptor cyclobutanes bearing a nonracemizable stereocenter, the collaborative tandem enantiomeric enrichment in the one-pot asymmetric multicomponent reaction is highly effective, thereby affording a wide range of tetracyclic indoline derivatives with excellent diastereo- and enantioselectivities in high yields.

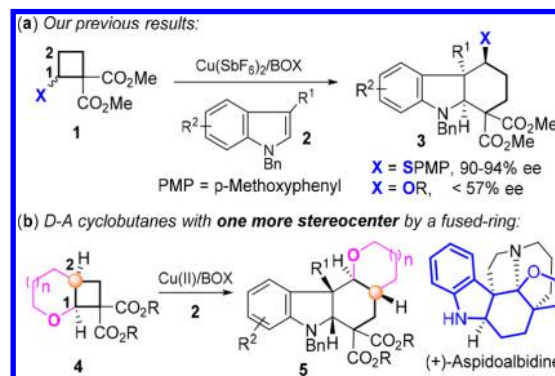
**KEYWORDS:** copper catalysis, asymmetric multicomponent reaction, indolines, D–A cyclobutanes, cycloaddition



## INTRODUCTION

Formal  $[4 + n]$  cycloaddition reactions of donor–acceptor (D–A) cyclobutanes are highly efficient in rapid construction of densely substituted (poly)cyclic compounds and have attracted great attention in recent years.<sup>1–4</sup> In 2013, Matsuo et al. reported an elegant regioselective inter- and intramolecular  $[4 + 2]$  cycloaddition reaction of alkoxy-cyclobutanones and indoles in 31–98% yield with moderate to good diastereoselectivity.<sup>5</sup> Later, we developed an efficient three-component formal  $[2 + 2 + 2]$  protocol for rapid access to polycyclic spiroindolines from tryptamine-derived enamides and methylene malonates.<sup>6</sup> Very recently, we disclosed an intermolecular  $[4 + 2]$  annulation of D–A cyclobutanes **1** with substituted indoles **2**, which provides a facile construction of hydrocarbazoles **3** bearing three continuous stereocenters with good diastereoselectivities.<sup>7</sup> However, the enantioselection of this annulation is very sensitive to the donor substituents of the D–A cyclobutanes. For example, D–A cyclobutanes with sulfur-centered donating groups are remarkably better than the oxygenated ones (a, Figure 1).<sup>7</sup>

Given our continuous interest in asymmetric transformations of D–A cyclobutanes by using a side arm strategy,<sup>2,8</sup> the activated cyclobutanes **4** fused by oxygenated heterocycles are



**Figure 1.** Catalytic asymmetric  $[4 + 2]$  annulation of indoles with D–A cyclobutanes bearing different numbers of stereocenters.

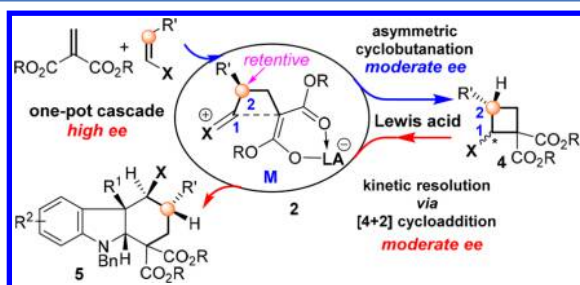
of great significance<sup>4</sup> because their enantioselective  $[4 + 2]$  annulation with 3-substituted indoles **2** readily affords the enantioenriched polycyclic indolines **5**, a key structural core

Received: March 3, 2018

Revised: April 5, 2018

Published: April 6, 2018

embedded in the aspidalbine family of alkaloids (b, Figure 1),<sup>9</sup> serving as an important alternative to the previous synthetic methodologies based on noble metal catalysis for tetracyclic indolines.<sup>10</sup> However, either kinetic resolution of the rigid fused cyclobutanes **4** with indoles or asymmetric cyclobutanation of cyclic electron-rich alkenes with methylene malonates to **4** are not highly enantioselective.<sup>7,11</sup> Gratifyingly, by using catalytic asymmetric multicomponent reactions (AMCRs)<sup>12</sup> that feature a synergetic tandem enantiomeric enrichment of both reactions relayed through the common ring-opening intermediate **M** of the cyclobutanes with a nonracemizable stereocenter C2 (Figure 2),<sup>13</sup> the highly efficient diastereo- and enantioselective



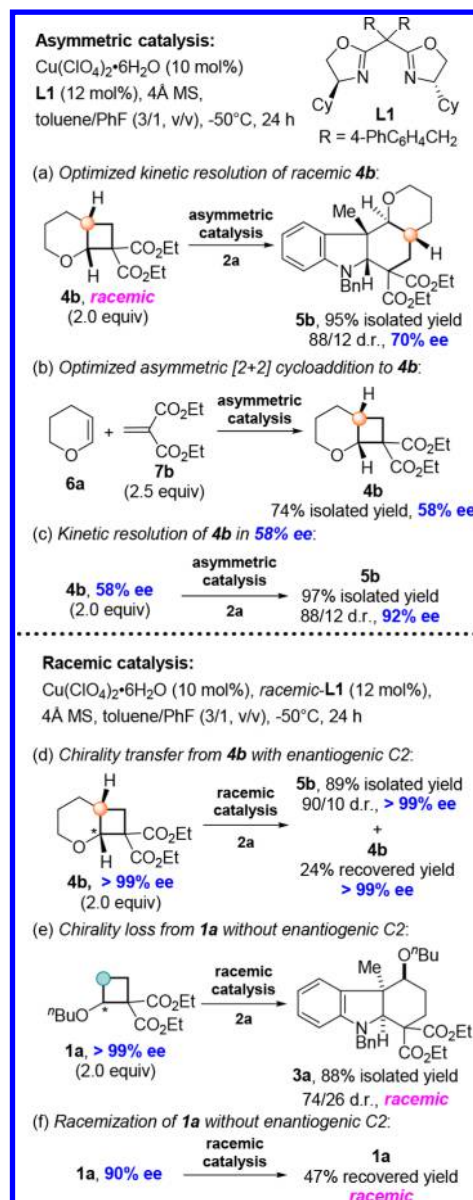
**Figure 2.** Synergetic tandem enantiomeric enrichment in catalytic AMCR relayed through a nonracemizable stereocenter.

2,3-fusion of indoles into such oxygenated bicyclic D–A cyclobutanes to form the desired indolines **5** is realized, which is reported herein.

## RESULTS AND DISCUSSION

Initially, we attempted kinetic resolution of racemic cyclobutanes **4a** and **4b** with *N*-benzyl-3-methylindole **2a** by a formal [4 + 2] cycloaddition reaction catalyzed by Cu(II) salts in the presence of various chiral side arm modified BOX ligands, and the results were summarized in Figure S1.<sup>14</sup> The best kinetic resolution result showed only a moderate selectivity of 70% ee with a good diastereoselectivity catalyzed by Cu(ClO<sub>4</sub>)<sub>2</sub>·6H<sub>2</sub>O and **L1** with a cyclohexyl-bisoxazoline framework (a, Figure 3), which was only slightly improved with respect to the oxygenated D–A cyclobutanes **1** in our previous studies.<sup>7</sup>

Generally, Lewis acid-promoted ring-opening of D–A cyclobutanes would afford a zwitterionic intermediate **M**, in which the stereocenter (C1) bound to the donor group is almost planarized while the stereocenter on the backbone such as C2 is nearly unaffected (Figure 2).<sup>16,11</sup> Indeed, under racemic catalysis, reaction of the enantiomeric pure cyclobutane **4b** with **2a** afforded the corresponding indoline **5b** without any detectable loss of ee, whereas a similar reaction of the chiral cyclobutane **1a** lacking a stereogenic C2 with **2a** produced a racemic mixture of indoline **3a** (d and e, Figure 3). Furthermore, the divergence of the enantiopurity of both the recovered cyclobutanes also demonstrated the importance of the enantiogenic C2 in chiral retention of the D–A cyclobutanes during the reversible ring-opening process under Lewis acid catalysis (d and f, Figure 3).<sup>11</sup> Thus, we envisaged that retention of C2-configuration in **4** during the transformation to **5** may allow for a synergetic tandem enantiomeric enrichment between asymmetric cyclobutanation and catalytic kinetic resolution of **4** with **2**, thereby significantly enhancing the enantioselectivity of indolines **5** (Figure 2).



**Figure 3.** Elaboration of chirality transfer in Lewis acid-catalyzed annulation of D–A cyclobutanes.

Undoubtedly, the above results clearly revealed that a highly enantioselective synthesis of D–A cyclobutanes bearing a nonracemizable stereocenter like **4**, if available, could ideally lead to a facile access to the enantiomeric pure indolines **5**. Recently, we have disclosed a highly diastereo- and enantioselective Cu(II)/BOX-catalyzed [2 + 2] cycloaddition reaction of styrenes with methylenemalonates to give the enantioenriched densely substituted cyclobutanes.<sup>11</sup> Unfortunately, under the reported reaction conditions, only a trace amount of cyclobutane **4b** was observed when the electron-rich alkene component was replaced by 2,3-dihydropyran.<sup>14</sup> However, **4b** could be isolated in 74% yield with a moderate enantioselectivity of 58% ee with **L1** (b, Figure 3). Gratifyingly, 2 equiv of **4b** in 58% ee enantiopurity reacted with **2a** smoothly produced indoline **5b** with 88:12 d.r. and 92% ee in 97% yield (c, Figure 3), showing the promising synergetic tandem enantiomeric enrichment relayed through the nonracemizable stereocenter in **4b**.

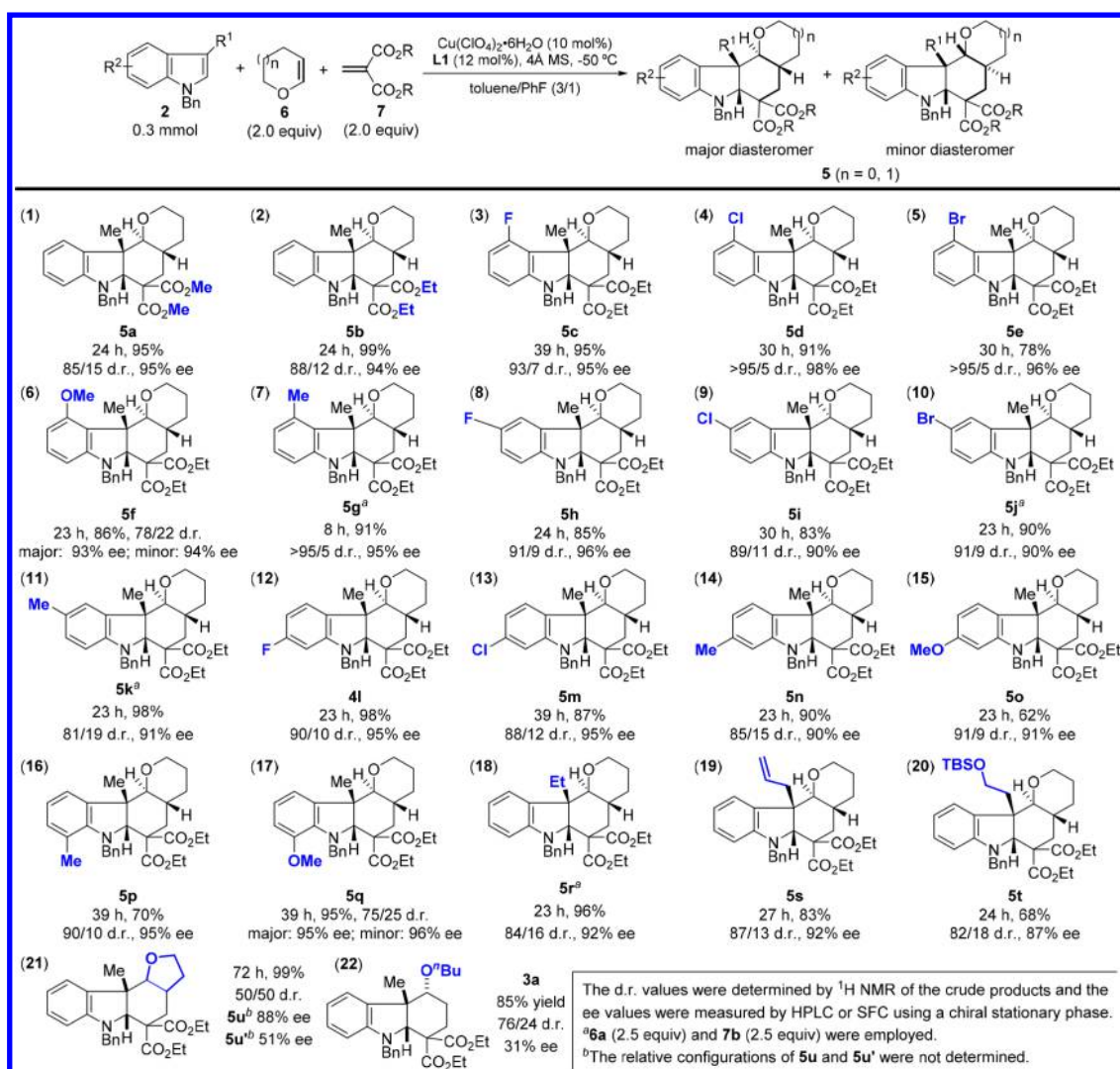


Figure 4. Substrate scope for copper-catalyzed AMCRs.

Encouraged by these results, we then began to investigate this enantiopurity upgradation in the catalytic AMCRs by a one-pot procedure. We also kept in mind that our recently reported tandem cyclization reaction of indoles with two molecules of methylidenemalonate might be problematic in terms of chemoselectivity.<sup>15</sup> The reaction condition optimization was carried out by employing indole **2a**, 2,3-dihydropyran **6a** and dimethyl methylidenemalonate **7a** as the model reactants, which were summarized in Figure S4 and Tables S1–S3.<sup>14</sup> In general, the combination of Cu(ClO<sub>4</sub>)<sub>2</sub>·6H<sub>2</sub>O (10 mol %) and ligand L1 (12 mol %) is the optimal catalyst, and a mixture of toluene and fluorobenzene (3/1 in volume) is the suitable solvent. The optimal reaction temperature was found to be -50 °C, at which excellent enantioselectivity was retained without significant decrease in reactivity and reaction rate. The concentration of the limiting substrate **2a** between 0.02 and 0.04 M was beneficial for the reaction.<sup>14</sup>

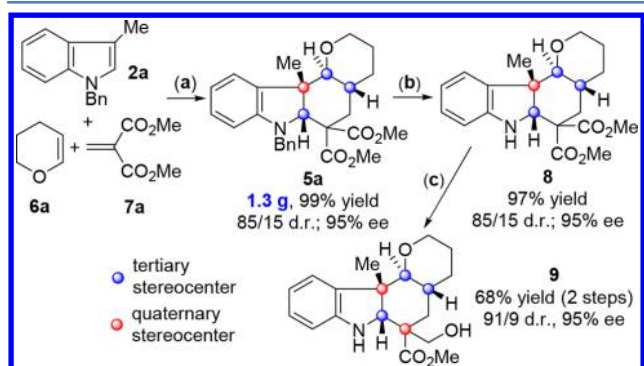
Generally, this copper-catalyzed AMCR works well with a wide range of indole derivatives. The results of the substrates were summarized in Figure 4. For dimethyl methylidenemalonate **7a**, indoline **5a** was isolated in 95% yield with 85/15 d.r. and 95% ee, and the diethyl **7b** is comparable (entries 1 and 2). Introduction of different substituents to the 4-position of the indole ring did not cause notable changes in the enantio-

lectivity, and tetracyclic indoles **5c–g** were obtained in good to excellent yields with excellent diastereoselectivities and 93–98% ee, while 4-methoxy one had a moderate d.r. of 78/22 (entries 3–7). Reactions of 5-substituted indoles with functional groups like halo and methyl afforded the annulation products **5h–k** in isolated yields up to 98% with up to 91/9 d.r. and up to 96% ee (entries 8–11). Furthermore, for indoles with electron-withdrawing groups at the 6-position, the annulation reaction proceeded smoothly to give indolines **5l** and **5m** in high yields with excellent diastereo- and enantioselectivities (entries 12–13). Meanwhile, the electron-donating groups are also tolerated, albeit with a slight decrease of ee (entries 14–15). In comparison to the electron-donating groups at the 4-, 5-, or 6-positions (entries 6, 7, 11, 14, and 15), reactions with 7-methyl (**2p**) and 7-methoxy (**2q**) indoles proceeded more slowly; however, the ee remained superior (entries 16 and 17). As observed for 4-methoxy indole, the reaction with 7-methoxy indole (**2q**) is also moderately diastereoselective.

It is noteworthy that 3-substituents other than methyl on the indole ring are compatible to the current reaction, such as ethyl, allyl, and -CH<sub>2</sub>CH<sub>2</sub>OTBS, which can readily furnish the enantioenriched indolines **5r–t** (entries 18–20, Figure 4). 2,3-Dihydrofuran (**6b**) is suitable for this annulation, giving a pair

of diastereoisomers **5u** and **5u'** (50/50 d.r.) in a quantitative yield, and their enantiomeric ratios are 94/6 and 75/25, respectively (entry 21). As expected, because of the absence of a nonracemizable stereocenter in the cyclobutane intermediate **1a**, the reaction with *n*-butoxyethene gave the annulation product **3a** with only 31% ee in 85% yield (entry 22), showing no improvement with respect to the siloxy ones.<sup>7</sup>

Furthermore, this reaction worked well on gram-scale without decrease of yields and selectivities (Figure 5).<sup>14</sup> In



**Figure 5.** Gram-scale AMCR and chemical transformations of **5a**. Reaction conditions: (a) **2a** (3.0 mmol), **6a** (6.0 mmol), **7a** (6.0 mmol), Cu(ClO<sub>4</sub>)<sub>2</sub>·6H<sub>2</sub>O (0.3 mmol), L1 (0.36 mmol), 4 Å MS, fluorobenzene (35 mL), toluene (80 mL), −50 °C, 24 h. (b) **5a** (0.1 mmol), Pd/C 10 wt % (20.1 mg), H<sub>2</sub> (1 atm), MeOH (2.0 mL), RT, 24 h. (c) **8** (0.14 mmol), DIBAL-H (0.42 mL, 1.0 M in hexane), CH<sub>2</sub>Cl<sub>2</sub> (3.0 mL), −78 °C, 24 h; then NaBH<sub>4</sub> (0.42 mmol), CH<sub>2</sub>Cl<sub>2</sub> (3.0 mL), room temperature, 0.5 h.

addition, the *N*-benzyl group in **5a** was easily removed by hydrogenolysis to give compound **8** without detectable loss of enantioselectivity. Subsequent reduction of **8** with DIBAL-H and NaBH<sub>4</sub> selectively transformed one of the two ester groups to the primary alcohol **9**, which contains five continuous stereocenters with two chiral quaternary carbons.

The relative configurations of both **5a** and its minor diastereomer **5a'** as shown in the reaction equation in Figure 4 were confirmed by single-crystal X-ray diffraction analysis.<sup>14</sup> The absolute configuration of the major enantiomer was assigned as (4*a**R*, 6*a**R*, 11*b**S*, 11*c**S*) as derived from single-crystal X-ray diffraction analysis of the chlorinated tetracyclic indoline **5d**.<sup>14</sup>

In summary, a highly regio-, diastereo-, and enantioselective Cu(II)/BOX-catalyzed asymmetric multicomponent reaction between indoles, 2,3-dihydropyran and methylene malonates to give highly enantioenriched tetracyclic indolines with four continuous stereocenters, including a chiral quaternary carbon, has been reported. Although the optimal Cu(II)/BOX catalyst displays only moderate enantioselectivity in either asymmetric cyclobutanation or formal [4 + 2] cycloaddition reaction with D–A cyclobutanes bearing a nonracemizable stereocenter, the synergistic tandem enantiomeric enrichment in this asymmetric multicomponent reaction is highly effective.

## ■ ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge on the ACS Publications website at DOI: 10.1021/acscatal.8b00866.

Experimental procedures and spectral data for new compounds (PDF)

X-ray data of **5a** (CIF)  
X-ray data of **5a'** (CIF)  
X-ray data of **5d** (CIF)

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### Notes

The authors declare no competing financial interest.

## ■ ACKNOWLEDGMENTS

We thank for the financial support from the National Natural Science Foundation of China (NSFC) (Nos. 21432011 and 21772224), the Strategic Priority Research Program of the Chinese Academy of Sciences (No. XDB20000000), the Youth Innovation Promotion Association CAS (No. 2017301), The Science and Technology Commission of Shanghai Municipality (No. 17JC1401200), the NSFC/RGC Joint Research Scheme 21461162002 to Y.T. Key Research Program of Frontier Sciences, CAS (No. QYZDY-SSW-SLH016) and the Natural Science Foundation of Shanghai (No. 17ZR1436900).

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